

12/22/00  
jc984 U.S. PTO

12-27-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 0500.0008171 Total Pages 38  
First Inventor Ron J. Vandergeest  
Title Method and Apparatus for Providing User  
Authentication  
Express Mail Label No. EL504284465US

jc970 U.S. PTO  
02/27/00  
12/22/00

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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- 1 ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
- 2 ☒ Specification Total Pages 30  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3 ☒ Drawings (35 USC 113) Total Sheets 4

4. Oath or Declaration Total Pages 2

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application  
(37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of  
Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity ☐ Statement filed in Prior  
Statement(s) Application, Status still  
proper and desired.
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other Request and Certification  
under 35 USC 122b2B1

5. ☐ Microfiche Computer Program (Appendix)

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No  
Prior Application Information: Examiner Group / Art Unit.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label or, ☐ Correspondence Address Below



24228

PATENT TRADEMARK OFFICE

Name (Print/Type)	Christopher J. Reckamp	REGISTRATION NUMBER	34,414
Signature		Date	Dec. 22, 2000

# FEE TRANSMITTAL

Note Effective October 1, 1997.  
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 1,128.00

Complete if Known

Application Number	
Filing Date	Dec. 22, 2000
First Named Inventor	Ron J. Vandergeest
Group Art Unit	
Examiner Name	
Attorney Docket Number	0500.0008171

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number	
Deposit Account Name	

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760 201 380		Utility filing fee	
106 310 206 155		Design filing fee	
107 480 207 240		Plant filing fee	
108 760 208 380		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) (\$) 690.00

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 31	( 20 = ) 11	18	198
Indep. 6	( 3 = ) 3	80	240
Multiple Dep.			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 39		Independent claims in excess of 3
104 260 204 130		Multiple dependent claim
109 78 209 39		Reissue independent claims over original patent
110 18 210 9		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 438.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES


Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 380 216 190		Extension for reply within second month	
117 870 217 435		Extension for reply within third month	
118 1,360 218 680		Extension for reply within fourth month	
128 1,850 228 925		Extension for reply within fifth month	
119 300 219 150		Notice of Appeal	
120 300 220 150		Filing a brief in support of an appeal	
121 260 221 130		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,210 241 605		Petition to revive - unintentional	
142 1,210 242 605		Utility issue fee (or reissue)	
143 430 243 215		Design issue fee	
144 580 244 290		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 760 246 380		Filing a submission after final rejection (37 CFR 1.129(a))	
149 760 249 380		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY: MARKISON & RECKAMP, P.C.

Typed or Printed Name Christopher J. Reckamp

Signature 

Date 12/22/00

Complete (if applicable)

Reg. Number 34,414

Deposit Account User ID

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Ron J. Vandergeest

Title Method and Apparatus for Providing  
User Authentication

Atty Docket Number 0500.0008171

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/22/00

Date

  
Signature
Christopher J. Reckamp

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.